



## American Pediatric Surgical Association

# Pectus Carinatum Guideline

*August 8, 2012 – Approved by the APSA Board of Governors*

### Synopsis

This clinical practice guideline serves as a collection of recommendations to assist pediatric surgeons and pediatricians in the evaluation and management of children with pectus carinatum. The recommendations resulted from an integration of a comprehensive systematic review of the medical literature and expert opinion regarding pectus carinatum. As a guideline these recommendations should not supplant the clinical judgment of the treating physician and should not be applied as a general protocol for every child with pectus carinatum.

### Definitions

Pectus carinatum is defined as a continuum of anterior chest wall deformities noted by the protrusion of the sternum and associated convex deformity of the adjacent costal cartilages. The most common categorizations are chondrogladiolar or chondromanubrial subtypes. Chondrogladiolar pectus carinatum consists of protrusion of the costal cartilages with deformation of the gladiolus segment, or so-called body of the sternum, which may be symmetric or asymmetric in its configuration. The rarer chondromanubrial subtype of pectus carinatum represents protrusion of the manubrium of the sternum and the adjacent costal cartilages.<sup>1, 2</sup>

### Clinical Presentation

Pectus carinatum occurs with a male predominant gender ratio of 4:1.<sup>3</sup> Although it can present in early childhood, it often is not apparent until puberty at which time it can progress in severity during rapid linear growth. Pectus carinatum may present with or without symptoms. The most common symptoms elicited may include exercise intolerance, chest pain, chest wall tenderness, shortness of breath, palpitations, or wheezing.<sup>4, 5, 6, 7, 8</sup> In children whose chest wall significantly deviates from normal, reconstructive and psychosocial concerns are reasons families may seek medical attention for pectus carinatum.<sup>9</sup> An association with scoliosis is most common, but rarer associations with congenital heart disease, Marfan's syndrome, and other connective tissue disorders have been reported.<sup>10, 11, 12, 13, 14</sup> In adults, who did not have chest wall reconstruction in childhood, there is evidence of persistence of the symptoms related to the pectus deformity.<sup>15, 16, 17</sup>

### Reconstructive Surgery for Pectus Carinatum

When a pectus carinatum chest wall deformity represents a significant deviation from normal and is associated with symptoms, nonoperative or operative corrective therapy is considered reconstructive as it restores function and alleviates symptoms. When it is performed for therapeutic purposes, the surgery for pectus carinatum falls under the definition of reconstructive surgery, and not cosmetic surgery, as defined by the American Medical Association and the Centers for Medicaid and Medicare as it is “performed to improve function, but may also be done to approximate a normal appearance”.<sup>18, 19</sup>

---

## Overview of Therapeutic Options for Pectus Carinatum

The approach to reconstruction for pectus carinatum varies widely. Surgeons have applied operative and nonoperative techniques in treating pectus carinatum and often within each general technique of surgical approach there are variations in methodology.

1. Nonoperative therapy utilizing orthotic bracing and dynamic compression have shown equivalent outcomes when compared with operative therapy in the treatment of selected children with pectus carinatum.<sup>20, 21, 22, 23</sup>
2. Surgical reconstruction as an open technique involving resection of the deformed cartilages with or without sternal osteotomy has been the primary modality of therapy for several decades.<sup>24, 25, 26</sup>
3. Minimally invasive thoracoscopic operative reconstructive techniques with and without the resection of the deformed cartilages have recently been described, with initial excellent results.<sup>27, 28, 29, 30, 31, 32</sup>

## Recommendations

1. For the child diagnosed with a pectus carinatum deformity physical evaluation for scoliosis should be performed.<sup>33, 34</sup> Dictated by the clinical presentation, an evaluation for congenital heart disease and Marfan's syndrome may also be performed.<sup>35</sup>
2. Symmetry of the pectus deformity, degree of sternal rotation, chest wall compliance, and the presence of a concomitant pectus excavatum deformity should be assessed.<sup>36</sup>
3. Although not required, chest computed tomography may assist in the surgical planning and play a role in determining the extent of the deformity in the child with a significant pectus carinatum.<sup>20, 37, 38, 39</sup>
4. In prepubertal children, a period of observation to follow the progression of the pectus carinatum and to allow for discussion regarding the optimal method of therapy is appropriate. Without strong evidence for ideal timing of treatment, expert opinion suggests that the age for operative therapy must be individualized, but is typically deferred until pubertal growth is nearly complete.<sup>40</sup>
5. As reconstructive therapy for the compliant pectus deformity, nonoperative compressive orthotic bracing is usually an appropriate first line therapy as it does not preclude the operative option. For appropriate candidates, orthotic bracing of chest wall deformities can reasonably be expected to prevent worsening of the deformity and often result in a lasting correction of the deformity. Orthotic bracing is often successful in prepubertal children whose chest wall is compliant. Expert opinion suggests that the noncompliant chest wall deformity or significant asymmetry of the pectus carinatum deformity caused by a concomitant excavatum-type deformity may not respond to orthotic bracing.<sup>36, 41, 42, 43</sup>
6. Open surgical reconstructive techniques are acceptable surgical options in the hands of experienced pediatric surgeons.<sup>24, 25, 26</sup>
7. Thoracoscopic reconstructive and other minimally invasive techniques are acceptable in some children, based on the advanced minimally invasive skills and experience of the pediatric surgeon.<sup>27, 28, 29, 30, 31, 32</sup>
8. Unless there is some overwhelming indication for repair, operative repair of pectus chest wall deformities is to be discouraged in children ages 5 years and younger due to the risk of disruption of normal chest wall growth with resultant chest wall restriction.<sup>44, 45, 46</sup>
9. Expert opinion suggests ongoing evaluation through adolescence by a pediatric surgeon is appropriate in the child who has undergone nonoperative or operative chest wall reconstruction therapy. Due to rib, cartilage, and pubertal linear growth with resultant ongoing changes in the chest wall contour that may occur, the pediatric surgeon should be involved in the extended follow up of these children.

---

## Authors

APSA Practice Committee

Donald Shaul – Chair, J. Duncan Phillips - Vice Chair. James Gilbert, Philip Glick, Randall Holland, Olajire Idowu, Mustafa Kabeer, Kevin Lally, William Middlesworth, Medo Mirza, Kevin Moriarty, Don Nakayama, Nam Nguyen, David Notrica, Ellen Reynolds, Bradley Rodgers and Juan Sola

## References

- <sup>1</sup> Ravitch MM. The chest wall. In: Welch KJ, Randolph JG, Ravitch MM O'Neill JA, and Rowe MI. editors. *Pediatric Surgery* 4<sup>th</sup> Edition. New York (NY) 1986. 578-561.
- <sup>2</sup> Haje SA, Harcke HT, Bowen JR. Growth disturbance of the sternum and pectus deformities: imaging studies and clinical correlation. *Pediatr Radiol* 1999; 29:334-341.
- <sup>3</sup> Shamberger RC, Welch KJ. Surgical correction of pectus carinatum. *J Pediatr Surg* 1987; 22:48-53.
- <sup>4</sup> Welch KJ and Vos A. Surgical correction of pectus carinatum (pigeon breast). *Jour Pediatr Surg*. 1973; 8:659-667.
- <sup>5</sup> Golladay ES and Golladay GJ. Chest wall deformities. *Indian Jour Pediatr* 1997; 64:339-350.
- <sup>6</sup> Fonkalsrud EW and Beanes S. Surgical management of pectus carinatum: 30 years' experience. *World Jour Surg* 2001; 25:398-403.
- <sup>7</sup> De Souza Coelho, M, De Souza Fonseca Guimaraes, P. Pectus carinatum. *J Bras Pneumol*. 2007; 44(4):463-474.
- <sup>8</sup> Fonkalsrud EW, Mendoza J. Open repair of pectus excavatum and carinatum deformities with minimal cartilage resection. *Am Jour Surg* 2006; 191:779-784.
- <sup>9</sup> Steinmann C, Krille S, Mueller A, Weber P, Reingruber B, Martin A. Pectus excavatum and pectus carinatum patients suffer from lower quality of life an impaired body image: a control group comparison of psychological characteristics prior to surgical correction. *Eur Jour Cardio-Thorac Surg* 2011 40:1138-1145.
- <sup>10</sup> Shamberger RC, Welch KJ, Castaneda AR, Keane JF, Fyler DC. Anterior chest wall deformities and congenital heart disease. *Jour Thorac Cardiovasc Surg* 1988 96:427-432.
- <sup>11</sup> Blanco FC, Elliott ST, Sandler AD. Management of congenital chest wall deformities. *Sem in Plastic Surg* 2011 25(1): 107-116.
- <sup>12</sup> DePaepe A, Devereux BB, Dietz HC et al. Revised diagnostic criteria for the Marfan syndrome. *Am J Med Genet* 1996; 62:417-426.
- <sup>13</sup> Willekes CL, Backer CL, Mavroudis C. A 26 year review of pectus deformity repairs, including simultaneous intracardiac repair. *Ann Thorac Surg* 1999; 67:511-518.
- <sup>14</sup> Chidambaram B, Mehta AV. Currarino-Silverman syndrome (pectus carinatum type 2 deformity) and mitral valve disease. *Chest* 1992; 102:780-782.
- <sup>15</sup> Mansour KA, Thourani VH, Odessey EQ, Durham MM et al. Thirty-year experience with repair of pectus deformities in adults. *Ann Thorac Surg* 2003; 76:391-395.
- <sup>16</sup> Fonkalsrud EW, DeUgarte D, Choi E. Repair of pectus excavatum and carinatum deformities in 116 adults. *Ann Surg* 2002 236(3):304-314.
- <sup>17</sup> Jaroszewski DE, Fonkalsrud EW. Repair of pectus chest deformities in 320 adult patients: 21 year experience. *Ann Thorac Surg* 2007; 84:429-433.
- <sup>18</sup> Medicare Benefits Manual (Pub 100-2), Chapter 16, 120- Cosmetic Surgery
- <sup>19</sup> American Medical Association, 1989 House of Delegates, Policy Statement H-475.992. Definitions of "Cosmetic" and "Reconstructive" Surgery. Chicago: American Medical Association.
- <sup>20</sup> Egan, JC, DuBois JJ, Morphy M, Samples TL, Lindell B. Compressive orthotics in the treatment of asymmetric pectus carinatum: a preliminary report with an objective radiographic marker. *J Pediatr Surg* 2000; 35:1183-1186.
- <sup>21</sup> Haje SA, Bowen JR. Preliminary results of orthotic treatment of pectus deformities in children and adolescents. *J Pediatr Orthop* 1992; 12:795-800.
- <sup>22</sup> Stephenson JT, DuBois J. Compressive orthotic bracing in the treatment of pectus carinatum: the use of radiographic markers to predict success. *J Pediatric Surgery* 2008; 43, 1776-1780.

- 
- <sup>23</sup> Banever GT, Konefal SH, Gettens K, Moriarty K. Nonoperative correction of pectus carinatum with orthotic bracing. *J Laparoendosc and Adv Surg Tech* 2006; 16(2):164-167.
- <sup>24</sup> Fonkalsrud EW, Anselmo DM. Less extensive techniques for repair of pectus carinatum: the undertreated chest deformity. *J Am Coll Surg* 2004 1998:898-905.
- <sup>25</sup> Shamberger RC. Congenital chest wall deformities In Grosfeld JL, O'Neill JA, Fonkalsrud EW, and Coran AG editors. *Pediatric Surgery*. 6<sup>th</sup> Edition Philadelphia (PA) 2006. 904-905.
- <sup>26</sup> Davis JT, Weinstein S. Repair of the pectus deformity: results of the Ravitch approach in the current era. *Ann Thorac Surg* 2004; 78:421-426.
- <sup>27</sup> Poullis, M. Modified Nuss repair for pectus carinatum. *Interactive CardioVascular and Thoracic Surgery* 2010; 11:221-222.
- <sup>28</sup> Schwabegger AH, Jeschke J, Scheuetz T, Del Frari B. Refinements in pectus carinatum correction: the pectoralis muscle split technique. *J Pediatr Surg* 2008; 43:771-774.
- <sup>29</sup> Abramson H, D'Agostino J, Wuscovi S. A 5-year experience with a minimally invasive technique for pectus carinatum repair. *J Pediatr Surg* 2009; 44:118-124.
- <sup>30</sup> Varela P, Torre M. Thoracoscopic cartilage resection with partial perichondrium preservation in unilateral pectus carinatum: preliminary results. *J Pediatr Surg* 2011; 46:263-266.
- <sup>31</sup> Kim S, Idowu O. Minimally invasive thoracoscopic repair of unilateral pectus carinatum. *J Pediatr Surg* 2009; 44:471-474.
- <sup>32</sup> Schaarschmidt K, Kolberg-Schwerdt A, Lempe M and Schlesinger F. New endoscopic minimal access pectus carinatum repair using subpectoral carbon dioxide. *Ann Thorac Surg* 2006; 81:1099-1104.
- <sup>33</sup> Golladay ES. Pectus carinatum and other deformities of the chest wall. In: Ziegler MM, Azizkan RG, Weber TR, editors. *Operative pediatric surgery*. New York (NY): McGraw-Hill; 2003; 269-277.
- <sup>34</sup> Goretsky MJ, Kelly RE, Croitoru D, Nuss D. Chest wall anomalies: pectus excavatum and pectus carinatum. *Adolesc Med* 2004; 15:455-471.
- <sup>35</sup> Ha HI, Seo JB, Lee SH et al. Imaging of marfan syndrome: multisystemic manifestations. *Radiographics* 2007 27: 989-1004.
- <sup>36</sup> Frey AS, Garcia VF, Brown RL, Inge TH, Ryckman FC, Cohen AP, et al. Nonoperative management of pectus carinatum. *Jour Pediatr Surg*. 2006; 41:40-45.
- <sup>37</sup> Calloway EH, Chotani AN, Lee YZ, Phillips JD. Three-dimensional computed tomography for evaluation and management of children with complex chest wall anomalies: useful information or just pretty pictures? *Jour Pediatr Surg*. 2011; 46: 640-647.
- <sup>38</sup> Lawson ML, Barnes-Ely M, Burke BL et al. Reliability of a standardized protocol to calculate cross-sectional chest area and severity indices to evaluate pectus excavatum. *J Pediatr Surg* 2006; 41(7):1219-1225.
- <sup>39</sup> Fonkalsrud EW. Surgical correction of pectus carinatum: lessons learned from 260 patients. *J Pediatr Surg* 2008 43:1235-1243.
- <sup>40</sup> Shamberger RC, Nuss D, Goretsky MJ. Surgical treatment of chest wall deformities. In: Spitz L, Coran AG. Editors. *Operative Pediatric Surgery*. 6<sup>th</sup> Edition. London (UK): Hodder Arnold; 2006: 209-226.
- <sup>41</sup> Kravarusic D, Dicken BJ, Dewar R, Harder J et al. The Calgary protocol for bracing of pectus carinatum: a preliminary report. *J Pediatr Surg* 2006 41:923-926.
- <sup>42</sup> Lee SY, Lee SJ, Jeon CW, Lee CS, Lee KR. Effect of the compressive brace in pectus carinatum. *Eur Jour Cardio-Thorac Surg* 2008 34(1):146-149.
- <sup>43</sup> Haje SA, Haje DP. Overcorrection during treatment of pectus deformities with DCC orthoses: experience in 17 cases. *International Orthopaedics* 2006 30:262-267
- <sup>44</sup> Haller JA, Colombani PM, Humphreys CT, Azizkhan RG et al. Chest wall constriction after too extensive and too early operations for pectus excavatum. *Ann Thorac Surg* 1996; 61:1618-1625.
- <sup>45</sup> Pretorius ES, Haller JA, Fishman EK. Spiral ct with 3d reconstruction in children requiring reoperation for failure of chest wall growth after pectus excavatum surgery: preliminary observations. *Clin Imaging* 1998 22(2):108-116.
- <sup>46</sup> Robicsek F and Fokin AA. How not to do it: restrictive thoracic dystrophy after pectus excavatum repair. *Interact Cardio-Vasc and Thorac Surg* 2004 3:566-568.